



STAFF DIRECTORY

Joel Blumenschein

joelb@kcdfinancial.com

President

Chief Executive Officer

FINRA and Regulatory Matters

Financial Oversight and Reporting

New Business Initiatives

Broker Relations

Office Audits

David Wilson

dave@kcdfinancial.com

Chief Compliance Officer

Compliance Oversight

Inquiries / Complaints – Escalated Problem Resolution

Communications with the Public (Advertising)

New Products – Due Diligence and Selling Agreements

Office Audits

Corporate Business

Robert Rivera

rob@kcdfinancial.com

Assistant Compliance Officer

Clearing House Trading

Clearing House Customer Service Issues

Compliance

Office Audits

Dakota Roberts

Dakota@kcdfinancial.com

Office Coordinator

Commission Specialist

Direct and Brokerage Business Workflow

Rep Business Processing

Amber Klinger

Amberk@kcdfinancial.com

Licensing & Registrations

Coordinator/Recruiting

Securities Registration

Agent Insurance Appointments

Annual Renewals

E & O Information

Recruiting

Alexis Rabas

reception@kcdfinancial.com

alexis@kcdfinancial.com

Administrative Assistant

Business Processing

General Office Information

Linda Paluch

linda@kcdfinancial.com

Accounting Specialist

Accounts Receivable/Accounts Payable

Commission Processing