

PERSONAL OUTSIDE BROKERAGE ACCOUNTS

KCD is required to review the activities in your personal outside brokerage accounts of our Representatives. These include any account in which securities transactions can be affected and in which the associated person has a beneficial interest.

If you have any such outside brokerage accounts:

★ Immediately notify the brokerage firm of your affiliation with KCD as a Representative and request duplicate statements by account number(s) to be sent to our home office. (A sample letter is included below.)

★ Send a copy of your letter/email to KCD so we know you have completed this request.

Sample Letter:

TO: [Outside Brokerage Firm Name]

RE: Duplicate Statement Requirement Account(s) #####

This letter will serve as notice that I am a Representative of KCD Financial, Inc. Please send duplicate statements, for the account(s) referenced above to the following address:

KCD Financial, Inc. / 3061 Allied St, Ste B, / Green Bay, WI 54304

(Your signature)_____

cc: KCD Financial, Inc.