IRA TO IRA ROLLOVER FORM – Custodian to Client to Custodian
(CLIENT CAN CASH THE CHECK)

1. Client Name: ____

Date of Birth: ___________________________________ Rollover Amount**:**______________________________

	Current IRA	**Proposed IRA**
2. Custodian:	___________________________________	___________________________________

3. Acknowledgements

- Effective January 1, 2015 the IRS only allows ONE IRA to IRA Rollover per calendar year. Direct Trustee to Trustee transfers are NOT impacted by this rule.
- If MORE than one IRA to IRA Rollover PER TAXPAYER takes place in a calendar year, ALL TAX BENEFITS ARE FORFEITED.

4. Signatures and Acknowledgements

This Investment was: ☐ Recommended by my representative ☐ Not recommended by my representative

____ ____

Owner's Signature Date Print Name

I have appropriately acted on behalf of my client by reviewing all possible situations with regards to the above transaction. I believe the information provided is complete and accurate to the best of my knowledge and that this transaction is suitable for the client.

____ ____

Representative's Signature Date Print Name

By signing below the Principal acknowledges that he/she has reviewed the investment for suitability.

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Principal's Signature Date