IRA TO IRA ROLLOVER FORM – Custodian to Client to Custodian

(*Not needed if Trustee to Trustee)

1. **Client Name**: ____

Date of Birth: ______________________________ Rollover Amount**:**__________________________

	Current IRA	**Proposed IRA**
2. **Custodian**:	______________________________	______________________________

3. **Acknowledgements**
   - Effective January 1, 2015 the IRS only allows ONE IRA to IRA Rollover per calendar year. Direct Trustee to Trustee transfers are *NOT* impacted by this rule.
   - If MORE than one IRA to IRA Rollover PER TAXPAYER takes place in a calendar year, ALL TAX BENEFITS ARE FORFEITED.

4. **Signatures and Acknowledgements**

This Investment was: ☐ Recommended by my representative ☐ Not recommended by my representative

______________________________________ ______________________________________
Owner's Signature Date Print Name

I have appropriately acted on behalf of my client by reviewing all possible situations with regards to the above transaction. I believe the information provided is complete and accurate to the best of my knowledge and that this transaction is suitable for the client.

______________________________________ ______________________________________
Representative's Signature Date Print Name

By signing below the Principal acknowledges that he/she has reviewed the investment for suitability.

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Principal's Signature Date